



CONTROLLING PERSON TAX RESIDENCY

Cidel

CONTROLLING PERSON TAX RESIDENCY SELF-CERTIFICATION FORM

Please read these instructions before completing the form.

Regulations based on the OECD (Organization for Economic Cooperation and Development) Common Reporting Standard require Cidel Bank & Trust Inc. to collect and report certain information about an account holder's tax residence.

If you are the Controlling Person located outside of Barbados, or if you are completing the form on their behalf, we may be legally obliged to pass on the information and other financial information with respect to your financial accounts to the Barbados Revenue Authority and they may exchange this information with tax authorities of other jurisdiction(s) pursuant to intergovernmental agreements to exchange financial account information.

This form will remain valid unless there is a change in circumstances relating to information, such as the Controlling Person's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify Cidel and provide an updated self-certification.

This form is intended to request information consistent with local law requirements.

Please complete this form if the account holder is a Passive NFE (Non-Financial Entity), or an Investment Entity located in a Non-Participating Jurisdiction and managed by another financial institution.

For joint of multiple controlling persons use a separate form for each controlling person.

Where you need to self-certify on behalf of an entity account holder, do not use this form. Instead, you will need an "Entity Self-Certification Form". Similarly, if you are an individual account holder, sole trader or sole proprietor, complete the "Individual Self Certification Form".

If you are completing this form on behalf of a controlling person, please advise us in what capacity you are signing the form. For example you may not be the Passive NFE (Non-Financial Entity) Account Holder, but completing the form under a Power of Attorney.

As a financial institution, we are not allowed to give tax advice, your tax adviser may be able to assist you in answering specific questions on this form.

SECTION 1: NAME OF CONTROLLING PERSON

Family Name or Surname(s)

First or Given Name

Middle Name

PLACE OF BIRTH:

Town of Birth

Country of Birth

CURRENT RESIDENCE ADDRESS:

House/Apt/Suite Name

Street Number

Street Name

Town/City

Province/State

Postal/Zip Code

Country

MAILING ADDRESS:

House/Apt/Suite Name

Street Number

Street Name

Town/City

Province/State

Postal/Zip Code

Country

DATE OF BIRTH:

SECTION 2: ENTITY LEGAL NAME(S)

Please enter the legal name of the relevant entity Account Holder(s) of which you are a Controlling Person

Legal name of Entity

Subsidiary Entity

Subsidiary Entity

SECTION 3: COUNTRY/JURISDICTION OF RESIDENCE FOR TAX PURPOSES AND RELATED TAXPAYER IDENTIFICATION NUMBER OR FUNCTIONAL EQUIVALENT (“TIN”).

Please complete the following table indicating:

- (i) where the Controlling Person is tax resident;
- (ii) the Controlling Person’s TIN for each jurisdiction indicated; and,
- (iii) if the Controlling Person is a tax resident in a jurisdiction that is a Reportable Jurisdiction(s) then please also complete “Type of Controlling Person” on the following page.

If the Controlling Person is tax resident in more than three jurisdictions please use a separate sheet.

Jurisdiction (s) of tax residency	Tax identification number type	Tax identification number (e.g. TIN)

If applicable, please specify the reason for non-availability of a tax reference number:

SECTION 4: TYPE OF CONTROLLING PERSON

(Please only complete this section if you are tax resident in one or more Reportable Jurisdictions).

Please provide the Controlling Person's Status by ticking the appropriate box

- a) Legal person - control by ownership.
- b) Legal person - control by other means.
- c) Legal person - senior managing official
- d) Trust - settlor
- e) Trust - trustee
- f) Trust - protector
- g) Trust - beneficiary
- h) Trust - other
- i) Legal arrangement (non-trust) - settlor - equivalent
- j) Legal arrangement (non-trust) - trustee - equivalent
- k) Legal arrangement (non-trust) - protector - equivalent
- l) Legal arrangement (non-trust) - beneficiary - equivalent
- m) Legal arrangement (non-trust) - other - equivalent

*(Please only complete this section if you are tax resident in one or more Reportable Jurisdictions)

SECTION 5: CONTROLLING PERSON DECLARATION AND UNDERTAKINGS

I acknowledge that the information contained in this form and information regarding the Controlling Person and any Reportable Account (s) may be reported to the tax authorities of the jurisdiction in which this account (s) is/are maintained and exchanged with tax authorities of another jurisdiction (s) in which I/the Controlling Person may be tax resident pursuant to international agreements to exchange financial account information.

I certify that I am the Controlling Person, or am authorized to sign for the Controlling Person, of all the account (s) held by the entity Account Holder to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.

I undertake to advise the recipient within 30 days of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide the recipient with a suitably updated self-certification and Declaration within 30 days of such change in circumstances.

Signature: _____

Print Name: _____

Date: _____

Note: If you are not the Controlling Person please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity: _____